

1 **Development of a Web-based Arterial Network Analysis System for Real-time**
2 **Decision Support**

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ABSTRACT

With increasing data collection for Intelligent Transportation System (ITS) for arterial networks, archiving, managing and analyzing complex network traffic data is becoming challenging. Challenges include inconsistent data connections, data quality control, query performance, traffic prediction, and computational limitations. In order to deal with these challenges, this paper presents a web-based Real-time Analysis and Decision-making for ARterial Network (RADAR Net) system. This system adopts a relational database that consists of link, intersection and detector entities. The relational data demonstrates its query performance and scalability. The system contains four layers: offline server, online server (middleware), online server (Java Servlet) and online client. This four-layer design successfully distributes the computational burden of the system. In order to monitor the arterial performance, link speeds are calculated directly from the loop detector data retrieved from the City of Bellevue, WA. The system can dynamically predict and smooth real-time loop spot speeds by using α - β filter, a simplified version of Kalman filter while maintaining high system performance. The link speeds of the entire network are calculated and updated in real-time. Based on the system architecture, many application modules, e.g. capacity analysis and dynamic routing, were implemented and proved the system feasible to perform real-time analysis and assist decision making.

Key words: Arterial Performance Measurement, Speed Estimation, Speed Prediction, Dynamic Filtering, and Decision Support System (DSS).

1 **1. INTRODUCTION**

2 With the new technology developments in Intelligent Transportation Systems (ITS), increased
3 deployments of traffic sensing technologies can easily provide the large amounts of live traffic
4 data necessary for real-time transportation management, e.g. incident detection, traffic operations,
5 and performance measurement. An arterial management system is regarded as one the most
6 challenging information system because it requires additional effort to clean, archive, analyze,
7 and interpret the data describing complex traffic conditions. Raw data gathered from
8 sophisticated sensor networks requires further processing to produce useful results for traffic
9 management and traveler information systems. It has been a challenging issue to manage and
10 utilize traffic sensor data effectively. For example, traffic sensor data must be processed before
11 being transferred to Advanced Traveler Information Systems (ATIS). However, ATIS cannot be
12 informative and successful without a well designed database and data analysis methods. In order
13 to support real-time information display and historical data analysis, the idea of Archived Data
14 User Service (ADUS) has been proposed since the 1990s, allowing transportation agencies to
15 efficiently store and redistribute ITS-generated data for analysis (1). With the improvement of
16 information technology, web-based systems have become popular (e.g. (2,3,4)) because these
17 systems can efficiently display, analyze and disseminate traffic information in a timely manner.
18 Because of these advantages, this web-based system is suitable for supporting real-time decision
19 making, such as emergency evacuation plan development and execution and emergency vehicle
20 routing. However, at the current stage, most web-based ATIS and ADUS focus on freeway
21 applications and few address issues on urban streets. For example, Chen (3) and Bertini et al. (4)
22 respectively developed Freeway Performance Measurement System (PeMS) and Portland
23 Regional Transportation Archive Listing (PORTAL), two major ATIS systems with ADUS for
24 freeway applications. The Regional Integrated Transportation Information System by Pack et al.
25 (5) is one of the most comprehensive ATIS and ADUS that demonstrates capabilities of region-
26 wide automated data sharing, dissemination, and archiving. However, their effort for real-time
27 arterial data processing was not addressed. Even though Arterial Performance Measurement
28 System (APeMS) was recently developed by Petty et al. (6), the functionality was limited and
29 not capable of analyzing a large-scale arterial network. Based on current state of the art, most
30 system development has difficulties in providing real-time network-wide arterial analysis and
31 decision support functions possibly due to the lack of real-time arterial data. Hence, techniques
32 for real-time arterial data processing and analysis have not been fully explored by researchers.
33 Nowadays, more and more cities (e.g. City of Bellevue, WA) are capable of providing high-
34 resolution arterial traffic data. If the data can be timely processed, the real-time results can assist
35 road users and engineers in real-time decision making in a complicated arterial network and,
36 meanwhile, provides researchers with a foundation to solve theoretical network problems that
37 have not been verified in the past.

38
39 The ideal real-time arterial network system for decision making has several requirements,
40 including responsiveness to queries, system flexibility, scalability, and real-time computing.
41 However, several prevailing challenges for such system are discussed below.

42 43 1. Inconsistent data connections

44 There are several ways to transmit real-time data between the data providers and clients. For
45 example, Washington State Department of Transportation (WSDOT) adopts Simple Object
46 Access Protocol (SOAP) to disseminate real time incident Extensible Markup Language (XML)

1 data. The City of Bellevue, WA, archives traffic data as flat files in the data server and the public
2 can fetch the data via File Transfer Protocol (FTP). Regardless of data transmission methods, the
3 data could be missing while being transmitted from the on-site sensors to the Traffic
4 Management Center (TMC). It is often observed in practice that communication fails
5 periodically.

6 7 2. Data quality control

8 A data quality control procedure is a key to provide accurate results. Some erroneous data should
9 be removed. For example, loop detectors generally have sensitivity errors (7), resulting in wrong
10 detection readings. Moreover, speed estimation should be corrected in the situations where
11 occupancy or volume is zero. These erroneous data could be discarded; meanwhile, more data
12 would be lost.

13 14 3. Query performance

15 Arterial networks usually contain hundreds of roadway links and intersections. With the
16 improvement of ITS data collection infrastructure, huge amounts of data are transmitted to the
17 data warehouse. The key to improving query performance is an efficient database design

18 19 4. Traffic prediction

20 The traffic status changes dynamically with some randomness. Short-term prediction has been a
21 critical issue. Most prediction algorithms require high computational power and are not suitable
22 to implement in a real-time system. Most decision making processes, e.g. shortest path routing
23 estimation, require smoothing and prediction process for the detector measurements, e.g. volume
24 or speeds. For a real-time decision support system, the performance of short term prediction
25 should be taken into account. Even though the quality control procedure may discard most of the
26 erroneous data, the impact of malfunctioning detectors and systematic errors should not be
27 ignored. The prediction mechanism needs to be tolerant of noise and error in order to minimize
28 the impact of erroneous data.

29 30 5. Computational limitation

31 Calculating statistics and algorithm implementation require computational power. If the
32 computation burden is only on the server side, server performance will be impacted. Arterial
33 networks usually have many links and nodes (intersections) with a large amount of data to
34 process. Distributed computing can mitigate resource problems and should be considered in the
35 system design.

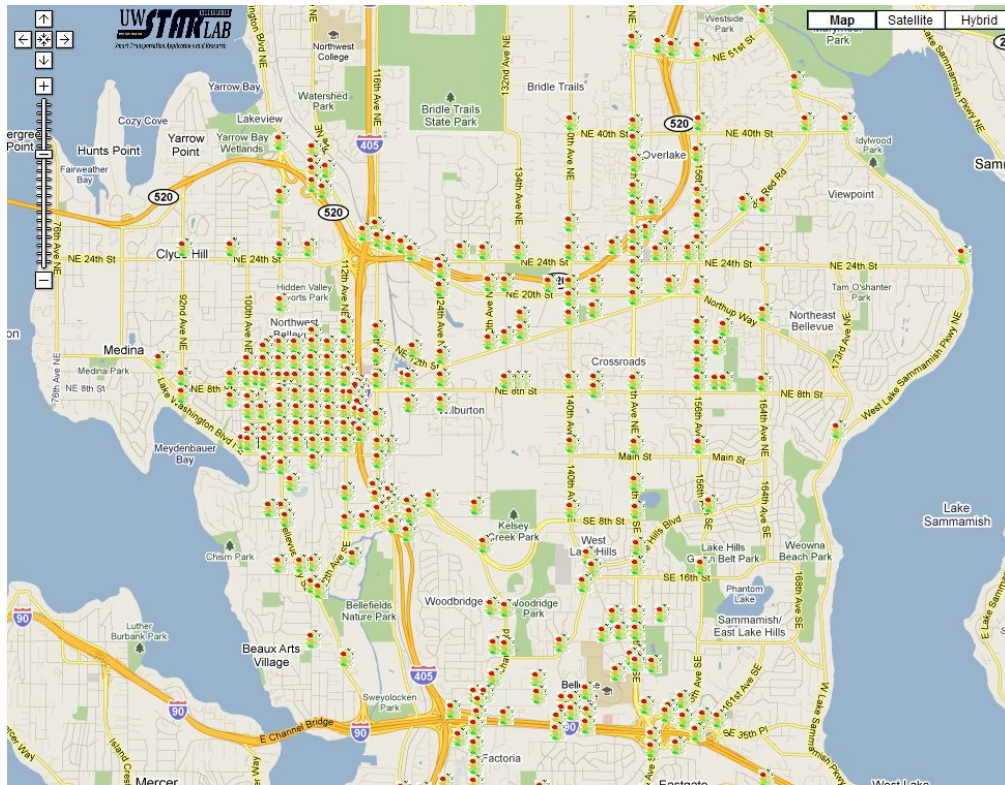
36
37 To effectively analyze and disseminate the arterial network information to decision makers,
38 traffic engineers and researchers, the main objective of this study is to overcome the
39 aforementioned critical issues and develop a web-based Real-time Analysis and Decision-
40 making for ARterial Network (RADAR Net) system. Therefore, the remainder of the paper is
41 organized as follows. First, the database and system designs of RADAR Net will be introduced.
42 Next, the details of the design flow will be elaborated upon followed by a detailed consideration
43 of the loop spot speed estimation and prediction, and link speed calculation. The implementation
44 of each functional module will be introduced and the system performance will be discussed. In
45 this end, the paper will be concluded with lessons learned, recommendations and future work.
46 The RADAR Net system is implemented as a sub-system of the Digital Roadway and Interactive

1 Visualization and Evaluation Network (DRIVE Net), an online interdisciplinary data integration
 2 and analysis platform, at www.uwdrive.net hosted by the Smart Transportation and Application
 3 Research Laboratory (STAR Lab) at the University of Washington.
 4

5 2. FRAMEWORK AND SYSTEM DESIGN

6 2.1 Network Description

7 As of July 2010, the City of Bellevue, WA operates more than 182 signalized intersections, in
 8 which 165 signals are connected to a centralized computer system operated to archive all the
 9 traffic data in Bellevue's traffic management center (TMC). Real-time traffic data, e.g. volume
 10 and occupancy, is mainly retrieved from advance loop detectors located 100 ~ 130 feet (30.5 ~
 11 45.7 m) upstream from the stop bar of each approach. All the data are stored in a FTP data server
 12 and downloaded automatically into the DRIVE Net arterial database every minute. More details
 13 of the data retrieval process can be founded in (8,9). The intersections with real-time data are
 14 displayed in the traffic light icons in Figure 1. As of July 15th 2010, real-time traffic data from
 15 706 loop detectors are sent data back to Bellevue's TMC.
 16



17 **Figure 1: Study arterial network in the City of Bellevue, WA**

18 2.2 Previous work

19 The Google-Map-based Arterial Traveler Information (GATI) system has been running since
 20 2007 (8,9). The GATI system provides real-time traffic information, historical data query and
 21 two visualization functions, scatter and time-domain plots for volume and occupancy. The
 22 analytical statistics can be calculated online based on the users' inputs. However, this system
 23 suffers from several drawbacks.
 24

- 1
2
- 3 • The GATI system is programmed in JavaScript and PHP. Few integrated development
4 environment (IDE) software packages are designed for JavaScript and PHP. The
5 debugging process tends to be slow and tedious.
 - 6 • Fewer codes and libraries can be found and reused even though JavaScript and PHP are
7 objected oriented. It is probably because of the low programmability (e.g. difficult to
8 debug), few developers are willing to develop and share code .
 - 9 • The visual component of GATI was hard coded in Cascading Style Sheets (CSS). The
10 interface is difficult to adjust and fit to all types of browser settings.
 - 11 • The visualization module was completed with third-party packages. The visualization
12 flexibility is limited.
 - 13 • The database has dependency issue. This issue increases the database size.

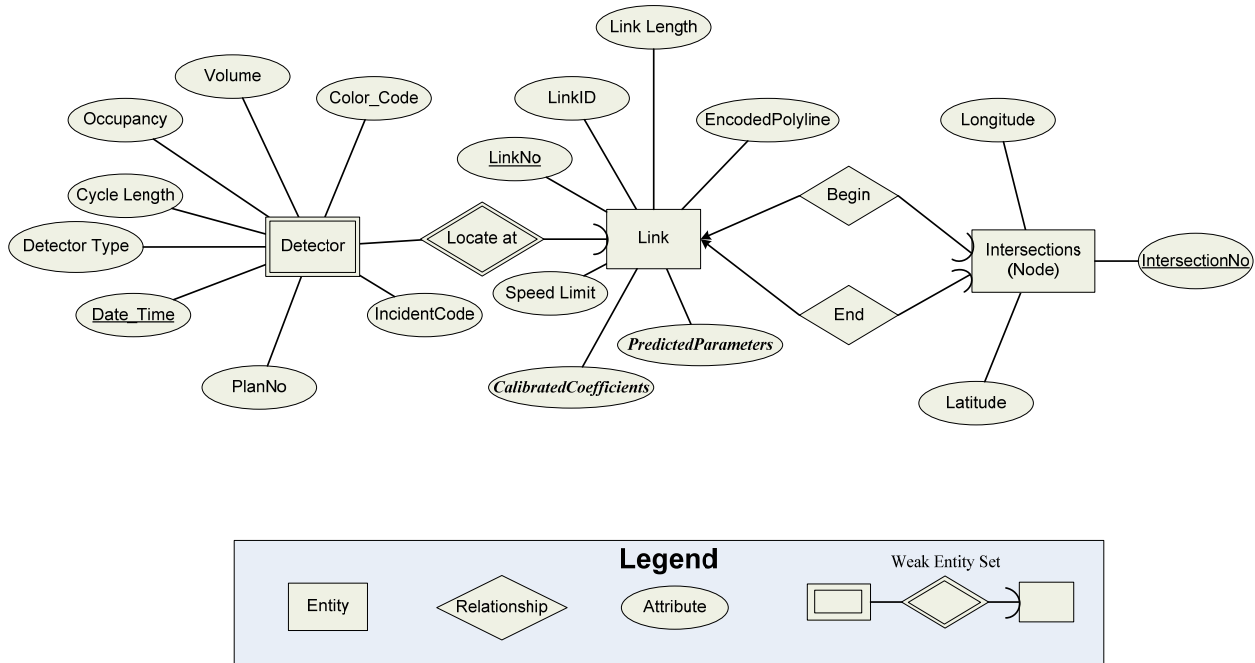
14 These issues are also commonly observed in practical applications. To deal with these issues
15 mentioned above, the RADAR Net system aims to renovate the GATI system design using
16 improved system and database designs.
17

18 **2.3 Database Design**

19 Real-time decision making relies on prompt query response from databases. For a typical On-
20 Line Transaction Processing (OLTP) system, database design is a key to retrieve timely data
21 through query. The relational database (*10*), commonly used for OLTP systems, is used in
22 RADAR Net. The relational database can provide many advantages. For example, the data are
23 organized in different relations (tables) and use Structured Query Language (SQL) to query the
24 specific results as desired (*11*). Moreover, new relations and attributes can be easily added to the
25 design and increase the design flexibility and database scalability.

26 The database design proposed in the previous research (*8*) was found to contain data
27 dependency and anomalies. The redundant data occupied more than 40% storage space.
28 Therefore, the Entity-Relationship (E/R) diagram was further improved, as shown in Figure 2.
29

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4 **Figure 2: E/R diagram design for the arterial network database**

5

6 *Schemas*

7 The E/R diagram in Figure 2 is converted to the schema following the conversion principle of the
 8 E/R data model (11). These schemas represent three tables in the SQL database. The Detector
 9 table stores the real-time detector data. The link and Intersection tables store the time-
 10 independent attributes. Thus, users can add/update links or intersections without affecting the
 11 Detector table. The attributes are briefly explained as below.

12

- 13 1. Detector(Date_Time, LinkNo, Volume, Occupancy, PlanNo, Cycle Length, ColorCode,
 14 Incident code,)

15

- Date_Time: The timestamp for each record.
- LinkNo: Link number.
- Volume: vehicles/hour (flow rate)
- Occupancy: the percentage of time the detector is occupied by vehicles.
- PlanNo: Real-time timing plan number. In the database, this is linked to a lookup table. PlanNo could be an entity if more attributes, such as phase times, are required to define a timing plan.
- Color code: the congestion levels determined by the system in Bellevue’s TMC.

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- 26 2. Link(LinkNo, LinkID, LinkLength, SpeedLimit, BeginNode, EndNode,
 27 ***CalibratedCoefficients, Predicted Parameters***)

28

29

- LinkNo: Link Number,

- 1 • Link ID: stores the info about number of lanes covered by the detector, direction
2 and detector types (system and advance).
- 3 • BeginNode and EndNode are the starting and ending intersections, respectively.
4 Each link must be defined by two intersections. These two attributes are foreign
5 keys in the Link table referencing Intersection.IntersectionNo.
- 6 • **CalibratedCoefficients**: This is a set of multiple attributes that stores all the pre-
7 calibrated parameters for roadway link estimation and prediction.
- 8 • **PredictedParameters**: This is a set of multiple attributes that stores all predicted
9 travel times and speeds in different columns.

10
11 The details of **CalibratedCoefficients** and **PredictedParameters** will be explained in the
12 System Design subsection

13 14 3. Intersection (IntersectionNo. Longitude, Latitude)

- 15 • Intersection No: Intersection number.
- 16 • Longitude and Latitude identify the location of each intersection.

17
18 According to the new design, the database dependency is mitigated by separating the data into
19 different relations (tables).

20 21 2.4 System Design

22 In order to support real-time decision making, the RADAR Net system needs to consider many
23 aspects of an optimized system. The system follows multi-tier architecture design. The technical
24 details of the client-server architecture can be found in (12). As shown in Figure 3, the
25 conceptual system design consists of four layers, offline, online server (middleware), online
26 server (Java Servlet) and online client (Browsers). The tasks are processed in different layers to
27 distribute the computation burden, especially in the server. The function of each layer is
28 explained as follows.

29
30 **Offline server:** the system is designed to estimate and predict traffic parameters. Most
31 algorithms required parameter calibration. The process is mostly done offline using simulations
32 or field observations.

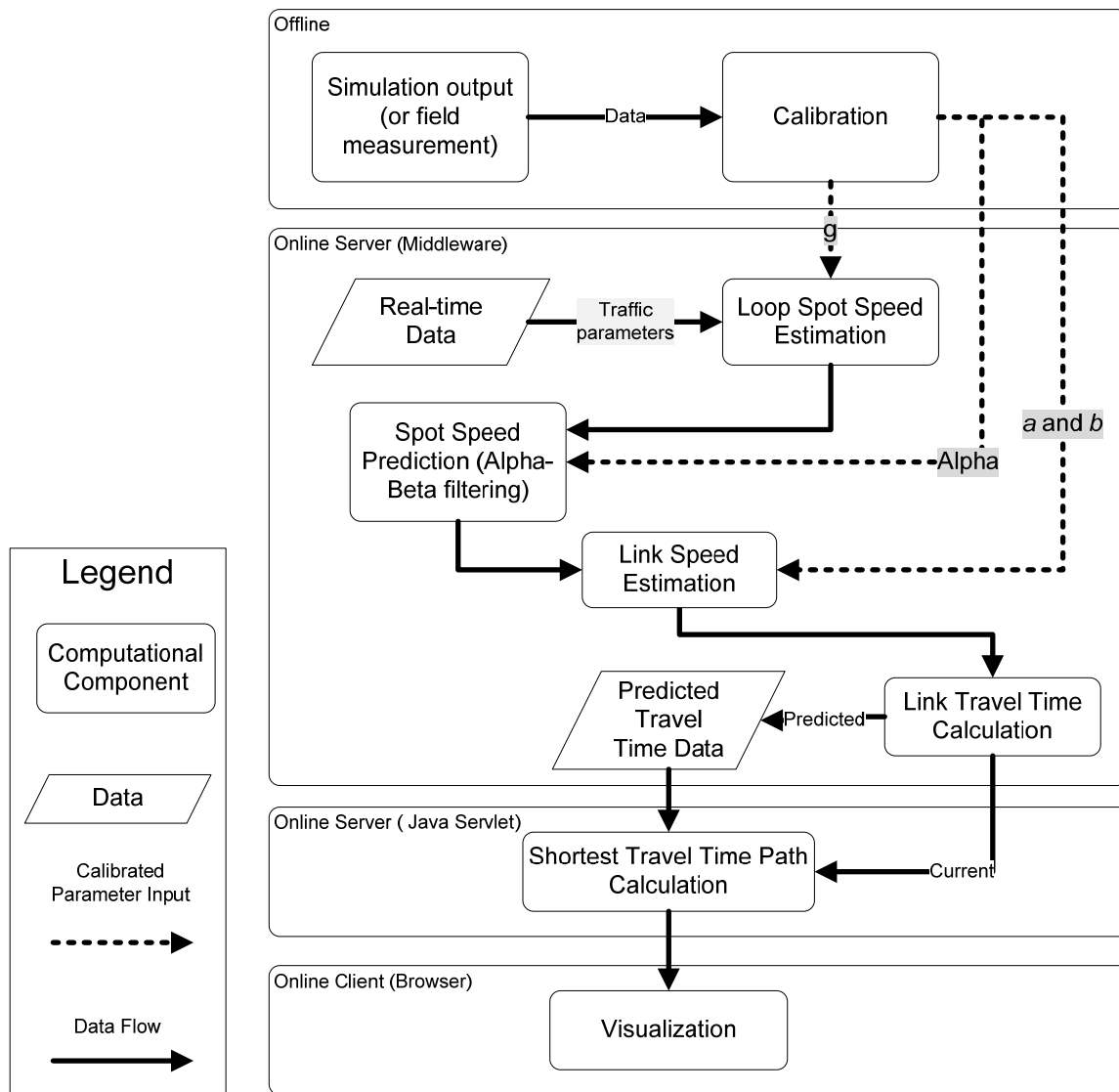
33
34 **Online server (middleware):** This layer is in charge of processing the real-time information
35 commonly used by the online analysis modules. Once the data is downloaded onto the RADAR
36 Net server, loop spot speed estimation and prediction, link speed estimation and link travel time
37 calculations are executed. The calculated data are automatically imported into the database
38 following the designed schemas. In addition to speed data, other traffic parameters, such as
39 predicted volume, can be stored in the database in the same manner. This layer can reduce the
40 computational burden in Java Servlet.

41
42 **Online server (Java Servlet):** The shortest travel-time path algorithm is one of the real-time
43 analysis modules implemented in RADAR Net to support real-time decision. Other RADAR Net
44 statistical analysis modules are also executed here.

45

1 **Online client layer:** This layer handles the requests from all the web browsers visiting the
 2 RADAR Net server through World Wide Web (WWW) and visualizes the query results. The
 3 code can be executed in the users' browsers using the computing power from each client
 4 computer.

5 Based on this system design, the computational workload can be distributed and lower
 6 the server's computational burden. Details of the proposed computational components will be
 7 elaborated in the next section.
 8



9 **Figure 3 System design**

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2 **3. SHORT-TERM TRAFFIC PREDICTION**

3

4 Real-time decision-making relies on an instant, historical and projected overview of the entire
 5 arterial network. Hence, the traffic parameters on every link of the arterial network are required
 6 to be updated and predicted in a timely manner. Volume, speed and occupancy are considered
 7 critical fundamental traffic parameters for a decision making and analysis system. Travel times
 8 (link speeds) are regarded as critical information for shortest travel-time path calculations.
 9 Shortest travel-time path is a key to emergency vehicle routing that requires the support for a
 10 real-time decision making system.

11 **3.1 Loop Spot Speed Estimation**

12 Travel time cannot be measured directly using most existing sensors. Inductance Loop Detectors
 13 (ILD) have been commonly used in practice and considered one of the most widely implemented
 14 permanent sensors in the U.S. (13, 14). The Athol's speed speed estimation formula (15), also
 15 called the g-factor approach, is commonly used to estimate the single loop spot speed for
 16 freeway (16) and arterial (17) applications. The loop spot speed for time interval, t is defined as
 17

$$18 \quad S_L(t) = \frac{N(t)}{T \cdot o(t) \cdot g(t)} \quad (1)$$

$$19 \quad \text{with } g(t) = \frac{1}{L(t)}$$

20

21 where, t is time interval index, N is interval traffic volume, o is occupancy, percentage of time
 22 a loop is occupied by vehicles per interval, T is the interval duration; L is the mean effective
 23 vehicle length; and g is the speed estimation parameter or called g-factor determined by the
 24 effective vehicle length. In some application, g is considered time independent ($g=2.4$) (18). For
 25 arterials, g is considered to be 2.63 (17). In our application, $g=2.14$ is used assuming the
 26 effective vehicle length is affected by transit and trucks (19). Equation (1) can be written as
 27

$$28 \quad S_L(t) = \frac{q(t)}{o(t) \cdot g} \quad (2)$$

29

30 where $q(t)$ is the flow rate for time interval t .

31 **3.2 Loop Spot Speed Prediction**

32 Many speed prediction models are developed and implemented online, such as the probability-
 33 based model by (20) and the knowledge-based model by (21). However, these models require
 34 many inputs, such as signal timing plan. Moreover, a real-time system requires quick response
 35 and low computational cost. A dynamic traffic parameter prediction is suitable for our real-time
 36 application. Dynamic filtering techniques can not only smooth the real-time data suffering from
 37 the random errors but also predict the data in the next state. Among dynamic filtering techniques,
 38 the Kalman filter (22) has gained attention from system designers because this filter provides
 39 high accuracy in prediction and many research projects have demonstrated robustness and
 40 reliability for short-term traffic prediction in freeway speeds (23, 24), freeway travel time (25),

1 arterial travel time (26) applications. Recently, Guo et al. (23) proposed a Kalman filter-based
 2 method to predict freeway speed using single loop detector data. These authors assumed the
 3 speed is the state of a discrete time controlled process governed by the linear stochastic
 4 difference equation as follows.

$$5 \quad S_L(t) = S_L(t-1) + e(t) \quad (3)$$

7 where $e(t)$ = state process error with mean 0 and variance Q . Next, based on the empirical
 8 findings of Guo et al. (23), $q(t)/o(t)$, the ratio of flow rate over occupancy (or called q/o ratio),
 9 has a linear relationship with $S_L(t)$. This linear relationship justifies the application of the
 10 Kaman filter. Thus, the measurement equation can be formulated as

$$13 \quad \frac{q(t)}{o(t)} = gS_L(t) + \varepsilon(t) \quad (4)$$

14 where $q(t)/o(t)$ = ratio of flow rate over occupancy for time interval t ; g = observation
 15 parameter (identical to the g -factor in Equation (2)); $\varepsilon(t)$ = observation process error with mean
 16 0 and variance R .

17
 18 Next, the linear model (Equations (3) and (4)) can be solved by standard Kalman recursion
 19 equations and the Kalman gain needs to be calculated recursively based on calibrated g , Q and
 20 R (22). The method by (23) adopted the smoothing function of Kalman filter, neglecting the
 21 prediction capability for the state variable because of the purpose of their research. When the
 22 data are missing, $S_L(t)$ is supposed to be updated with a predicated value. However, the system
 23 state in Equation (3) cannot be updated because this equation lacks a term $u(t-1)$ with a
 24 coefficient B to update the speed, $S_L(t)$. (please see (27) for more details about Kalman filter).
 25 Moreover, variances, R and Q usually need to be calibrated based on real-data and the calibration
 26 process will be tedious and cumbersome.

27
 28 In order to take advantage of the prediction capabilities of Kalman filter and minimize the
 29 effort of parameter calibration. The alpha-beta (α - β) filter, a simplified version of Kalman filter
 30 is used in this study (28) for the following reasons:

- 31
- 32 • The α - β filter has been widely applied to object tracking in image processing and
 - 33 can effectively predict the location of the missing objects (29, 30, 31),
 - 34 • Instead of using the positions in the image, the α - β filter, is able to
 - 35 mathematically predict speeds mainly based on the measurement of q/o
 - 36 (volume/occupancy) ratio. The measurement q/o ratio can be regarded as a
 - 37 moving object moving in a one-dimensional line depending on time, t ,
 - 38 • The α - β filter requires calibration for only one parameter, alpha, and the filter is
 - 39 simplified without computing Kalman gain repetitively.
 - 40 • Predicting loop spot speeds for the entire arterial network is computationally
 - 41 expensive. The recursive feature of the α - β filter can perform in real-time without
 - 42 much burden to the entire system.
- 43

1 In our implementation, every single measurement $x(t) = \frac{q(t)}{o(t)}$ is smoothed and predicted.

2 The α - β filter is defined in the following equations (28):

3

$$4 \quad x_s(t) = \hat{x}(t|t) = x_p(t) + \alpha [x_o(t) - x_p(t)] \quad (5)$$

$$5 \quad v_s(t) = \hat{x}(t|t) = v_s(t-1) + \frac{\beta}{mT} [x_o(t) - x_p(t)] \quad (6)$$

$$6 \quad x_p(t+1) = \hat{x}(t+1|t) = x_s(t) + T \cdot v_s(t) \quad (7)$$

7

8 where $x_o(t)$ = the observed measurement (q/o) at the timestamp t ; $x_p(t)$ = the predicted
 9 measurement at timestamp t ; $x_s(t)$ = the smoothed measurement at the timestamp t ; $v_s(t)$ = the
 10 smoothed measurement changing rate (It can be regarded as the velocity of the measurement) at
 11 the timestamp t ; T = the sampling interval ($T=1$ is used since the data is updated every minute);
 12 m = the number of discrete timestamps since the last measurement; and α, β = fixed-coefficient
 13 filter parameters.

14 The filter starts with an initialization process defined by:

$$15 \quad x_s(1) = x_p(1) = x_o(1) \text{ and } v_s(1) = 0 \quad (8)$$

$$16 \quad v_s(2) = \frac{x_o(2) - x_o(1)}{T} \quad (9)$$

17 In order to reduce the calibration effort, the optimal relationship between α and β is known to
 18 be (32).

$$19 \quad \beta = 2 \cdot (2 - \alpha) - 4\sqrt{1 - \alpha} \quad (10)$$

20 Note that Equations (5)~(9) are used when the measurement can be consistently input into the
 21 system. As mentioned in the Introduction section, the data input could be missing due to
 22 communication errors or measured speed = 0 (when occupancy or volume=0). In this case, the
 23 values of x and v can be predicted as follows:

$$24 \quad x_o(t) = x_s(t) = x_p(t) \text{ and } v_s(t) = v_s(t-1) \quad (11)$$

25 *Effectiveness of Prediction*

26

27 Figure 4 shows the application of the α - β filter on the data collected at the advance loop east of
 28 intersection 16 (NE 8th AVE and 106th AVE NE), westbound on NE 8th AVE from 6am to 7pm

1 on July 15th, 2010. Figure 4(a) shows the effectiveness of filter smoothing. Figure 4(b) shows the
 2 effectiveness of prediction when 50% of data are missing (randomly removed). The filter still
 3 smoothes the predicted measurement while data is missing. However, the area circled in a dotted
 4 line shows that the missing multiple data continuously would cause the filter to become
 5 increasingly inaccurate. This is also a common prediction constraint for most dynamic filters. In
 6 other words, dynamic filters can predict the trend in real-time. If the object is abruptly turning or
 7 moving toward other directions, the object tends to be lost. However, the trend can be easily
 8 resumed by the filter once the true measurement enters the filter, as illustrated in the prediction
 9 results after the circled area.

10 *Calibration*

11 To improve prediction performance, the parameter, α , needs to be selected carefully.
 12 According to Equation (5), the higher α is, the more the filter will trust the “correction” from
 13 the new measurement. On the other hand, the system will be more sensitive to errors. To
 14 demonstrate the feasibility of the α selection process, the calibration process and a sensitivity
 15 test for α are conducted in this research. Note that α can be determined based on the
 16 characteristics of each link or one single α minimizing the system error can be adopted for the
 17 entire network. Either way can be easily implemented offline. This implementation aims to
 18 select one single α that can minimize the errors of prediction for the entire network.

21 *Measure of Accuracy*

22 In order to quantify the prediction performance, three measures of accuracy, Mean Absolute
 23 Error (MAE), Root Square Mean Error (RSME) and Mean Absolute Percentage Error (MAPE)
 24 are used in this study and defined as follows (33).

$$28 \quad MAE = \frac{\sum_{t=1}^n |F(t) - G(t)|}{n} \quad (12)$$

$$31 \quad RMSE = \sqrt{\frac{\sum_{t=1}^n (F(t) - G(t))^2}{n}} \quad (13)$$

$$34 \quad MAPE = \frac{1}{n} \sum_{t=1}^n \left| \frac{F(t) - G(t)}{G(t)} \right| \quad (14)$$

36 where $G(t)$ is the ground truth loop spot speed at time interval t ; $F(t)$ is the predicted link
 37 travel at time interval t ; n is the total number of samples. In the application, $F(t) = x_s(t)$. If data
 38

1 is missing, $x_o(t) = x_p(t | t-1)$ will be smoothed in Equation (5). Even though the prediction error
2 is defined by the difference between $G(t)$ and $F(t)$, the measures of accuracy shows the relative
3 improvement of the smoothing and effectiveness of prediction concurrently since $G(t)$ itself is
4 likely to contain random errors.

5 MAE provides an overview of all errors and shows how close the predicted loop speeds
6 are to the ground truth. RMSE shows the average magnitude of the error but penalizes large
7 errors. RMSE indicates the precision of prediction. The MAE and RMSE can be evaluated
8 jointly to determine the variation of the errors. Compared to RMSE, MAPE expresses the error
9 as a percentage without “exaggerating” the error.

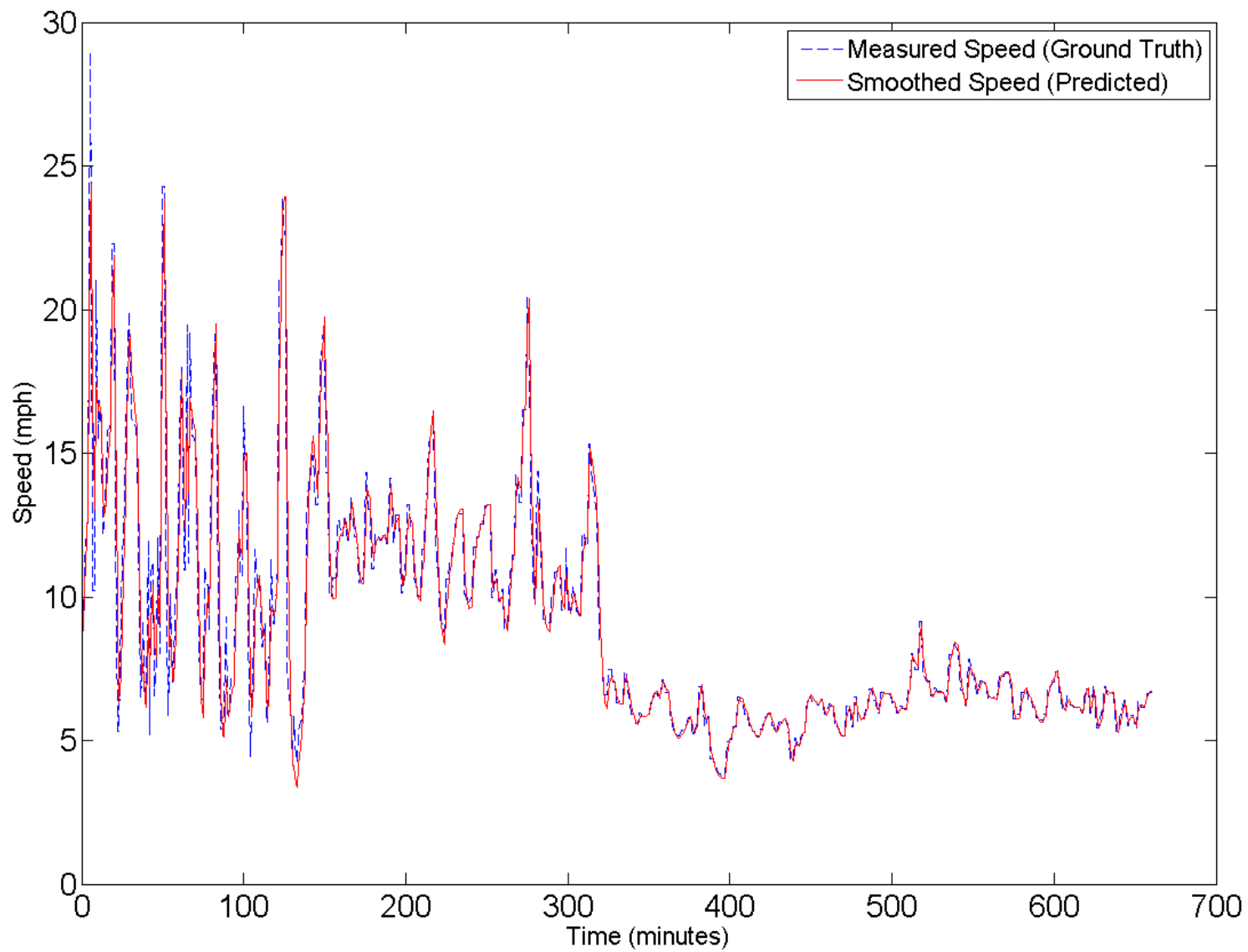
10 *Calibration*

11
12
13 One day’s worth of data collected on July 15 (Thursday), 2010 was extracted from the database.
14 Among 708 links, 472 links with advance detectors on the through movement lanes are adopted
15 in the RADAR Net system. Advance loop data on 23 major arterials links (average volume > 400
16 veh/h and average occupancy >20%) were selected for the calibration and evaluation process.
17 Data before 6am and after 7pm were excluded in the dataset because few traffic fluctuations are
18 observed during this period and may result in underestimating average prediction errors. In
19 order to determine the most suitable α and effectiveness of prediction, for each data set, different
20 portions of data are randomly removed, ranging from 10% to 90% at 10% increments. The
21 results are sequentially illustrated in Figure 5, from the bottom to the top. As shown in Figure
22 5(a), if there is no data missing, $\alpha = 0.9$ can result in the “best” results. This is not surprising
23 because the filter “trusts” the new measurement more. It should be worth mentioning that the
24 ground truth measurements may contain random errors. Hence, the ground truth is not “real”
25 ground truth. In this case, the low MAE, RMSE, and MAPE may not absolutely imply that the
26 filter performs better. Therefore, the $\alpha = 0.9$ case in Figures 5(a), 5(b) and 6(c) may imply that
27 the filter is affected by the noise. In contrast, the $\alpha = 0.1$ case shows the “worst” results. It is also
28 reasonable because the filter does not “trust” the new measurement. Figure 5(c) shows a
29 decreasing trend in MAPE, showing the percentage error is reduced when the filter trusts the
30 measurements more. This figure shows there are two drops at $\alpha = 0.2$ and $\alpha = 0.4$, showing
31 these two values could be used if the data quality is poor. Overall, the $\alpha = 0.6$ case shows a drop
32 both in MAE and RSME when the data are 90% missing. This implies $\alpha = 0.6$ is able to reduce
33 the noise and smoothly predict the results concurrently. When 80% of the data is missing, the
34 drop also appears in MAE and RMSE. However, as α increases, the filter becomes more
35 sensitive to noise. Hence, $\alpha = 0.8$ may be used for the links with higher quality of data with low
36 data missing rate. In our application, $\alpha = 0.6$ is selected because this value shows its robustness
37 to consecutive data missing and could minimize the random errors when the measurements are
38 available.

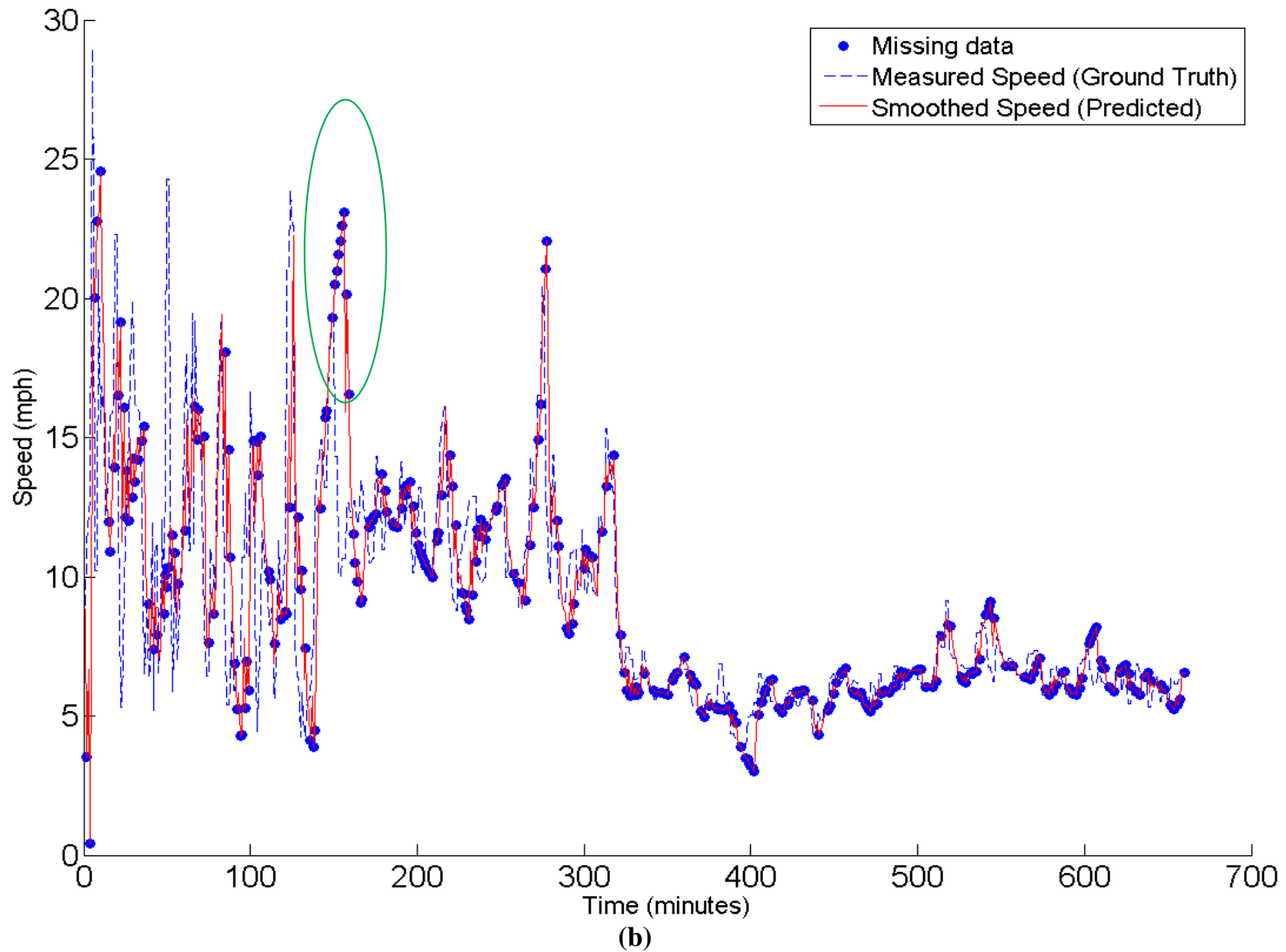
39 *Practical Constraint and Remedy*

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42 It is worth noting that the loop-based methods, e.g. (17) and (23), can perform accurately
43 under congested conditions. Overnight the loop-based method would result in incorrect results
44 due to low volume and occupancy. Therefore, a threshold value has to be determined to separate

- 1 congested and non-congested conditions. The studies by Guo et al (23) and Coifman (34)
- 2 recommended 10% occupancy as an optimal threshold value and this value is used in our system.

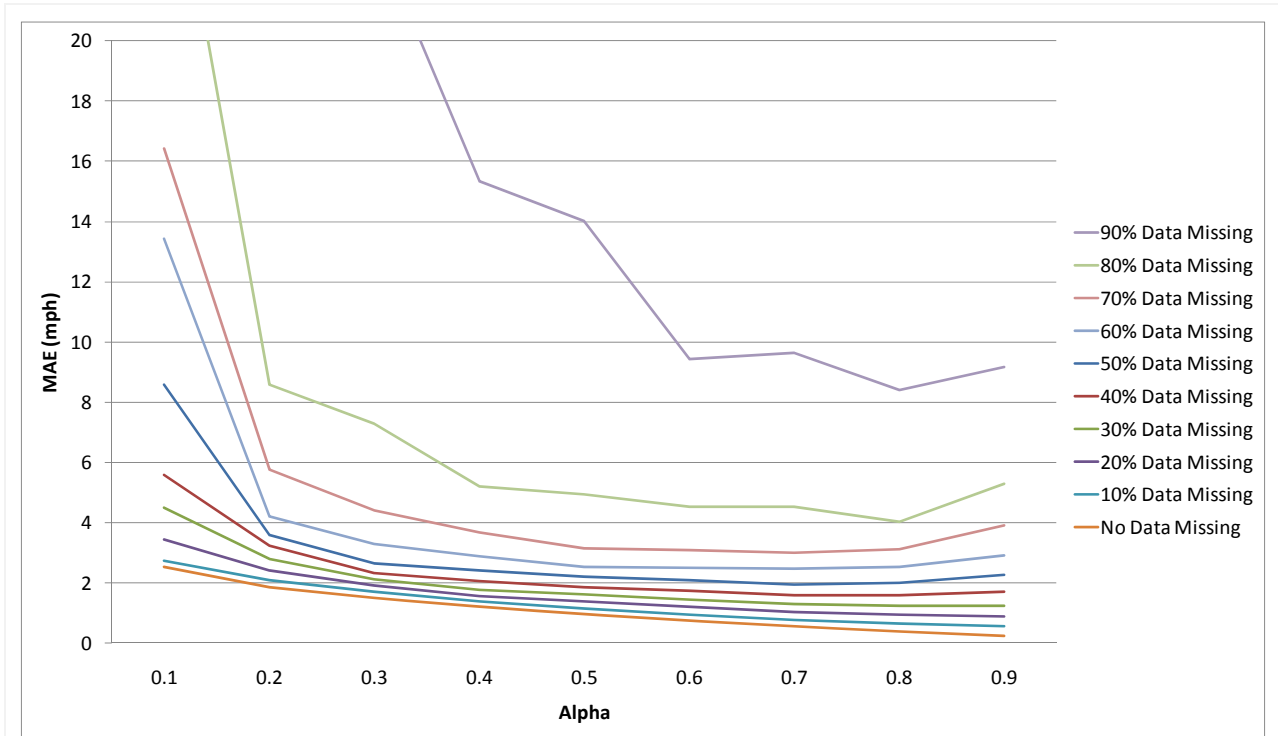


(a)

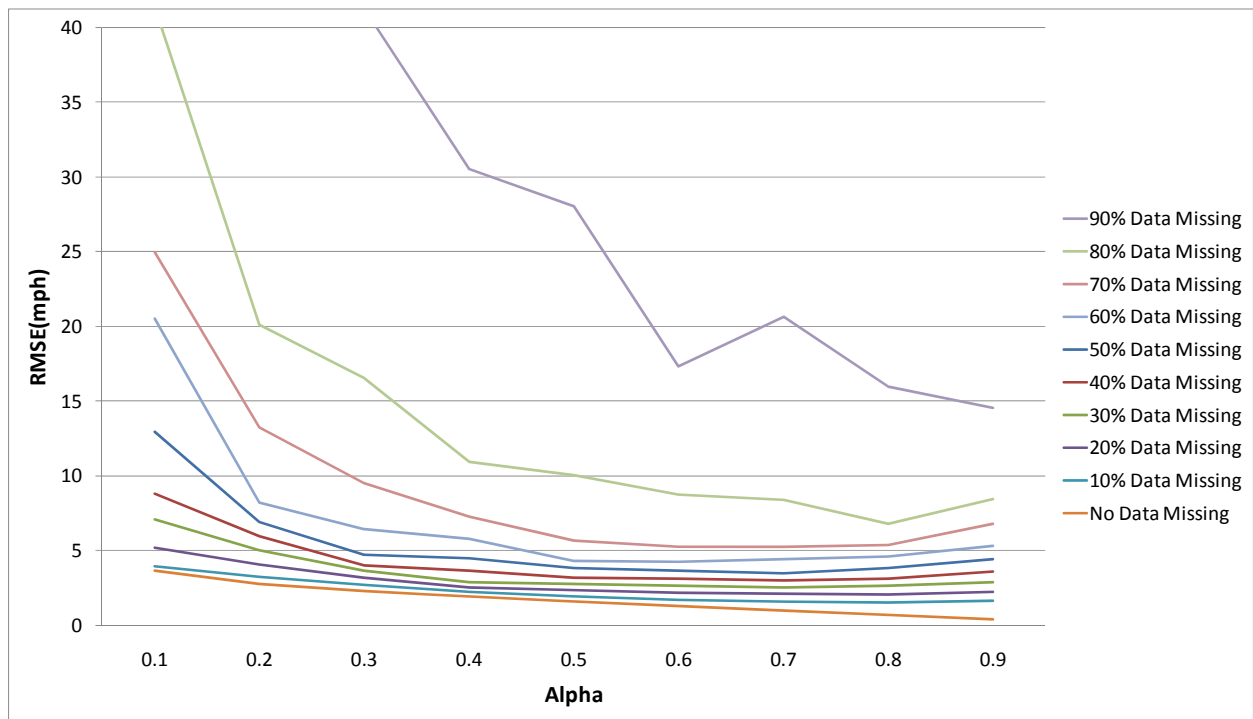


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Figure 4: Application of α - β filter on loop spot speed prediction ($\alpha=0.6$) (a) No data missing, (b) 50% data missing

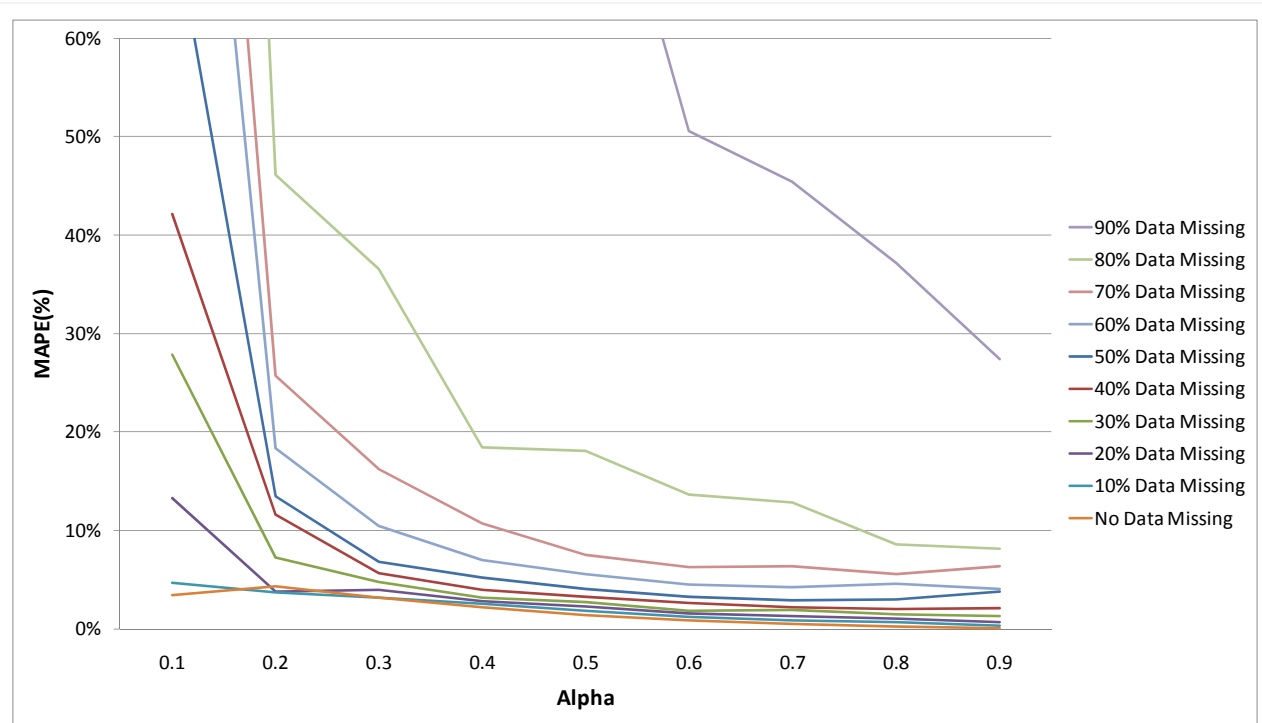


(a)



(b)

Figure 5: Optimal Alpha value selection based on different data missing percentage conditions: (a) The relationship between Alpha and MAE, (b) The relationship between Alpha and RMSE



(c)

Continued, Figure 5: Optimal Alpha value selection based on different data missing percentage conditions: (c) The relationship between Alpha and MAPE under different data missing percentage conditions

1

3.3 Link Speed Estimation

Once loop spot speeds are estimated and predicted, loop spot speeds can be converted to link speeds to better represent the link performance. Based on Zhang's research, loop spot speed can be representative of link speed in congested conditions. It was found in (19) that the advance loop spot speed is likely to overestimate the link speed if the ground truth link speed is higher. Hence, the model to represent the relationship between the ground truth link speed and advance loop spot speed is formulated as (19):

9

$$\hat{S}_j(t) = a\hat{S}_L(t) - \exp(b\hat{S}_L(t)) + 1 \quad (15)$$

11

where $\hat{S}_j(t)$ is the estimated link speed, $\hat{S}_L(t)$ is the loop spot speed at the advance detector, and a and b are coefficients that require calibration. The constant value, 1, allows the calibrated model to traverse the origin (0,0). In other words, when $\hat{S}_L(t) = 0$, $\hat{S}_j(t) = 0$. This is based on the assumption that the spot speed should be equal to the link speed when the measured spot speed is close to zero. (17). The parameters, a and b are calibrated by the traffic simulation software package, VISSIM model (35). As implemented, the universal parameters a and b (1.0 and 0.05, respectively) are calibrated based on the major streets and applied to all links to demonstrate the feasibility of the approach. To achieve most accurate results, the calibration should be conducted for every link. After link speeds are available, the link travel time can be

20

1 easily calculated using known link lengths. Since the link travel speed estimation takes signal
2 control into account (19), the calculated link travel time also contains the control delay.
3 Therefore, the route travel time is simply the summation of all links along the route.

4 **4. SYSTEM IMPLEMENTATION**

5 **4.1 Implementation**

6 Based on the system design shown in Figure 3, the online client and online server (Java Servlet)
7 layers of the RADAR Net system is programmed using Google Web-Toolkit (GWT) (36)
8 combined with Eclipse (37), an open-source Java IDE. Compared with the previous GATI
9 system development environment, the development efficiency has been greatly improved. The
10 code is optimized and converted to the JavaScript code by GWT. The online server layer is
11 implemented in C#. The server runs on Windows Server 2008 operating system (OS) with MS
12 SQL Server 2008.

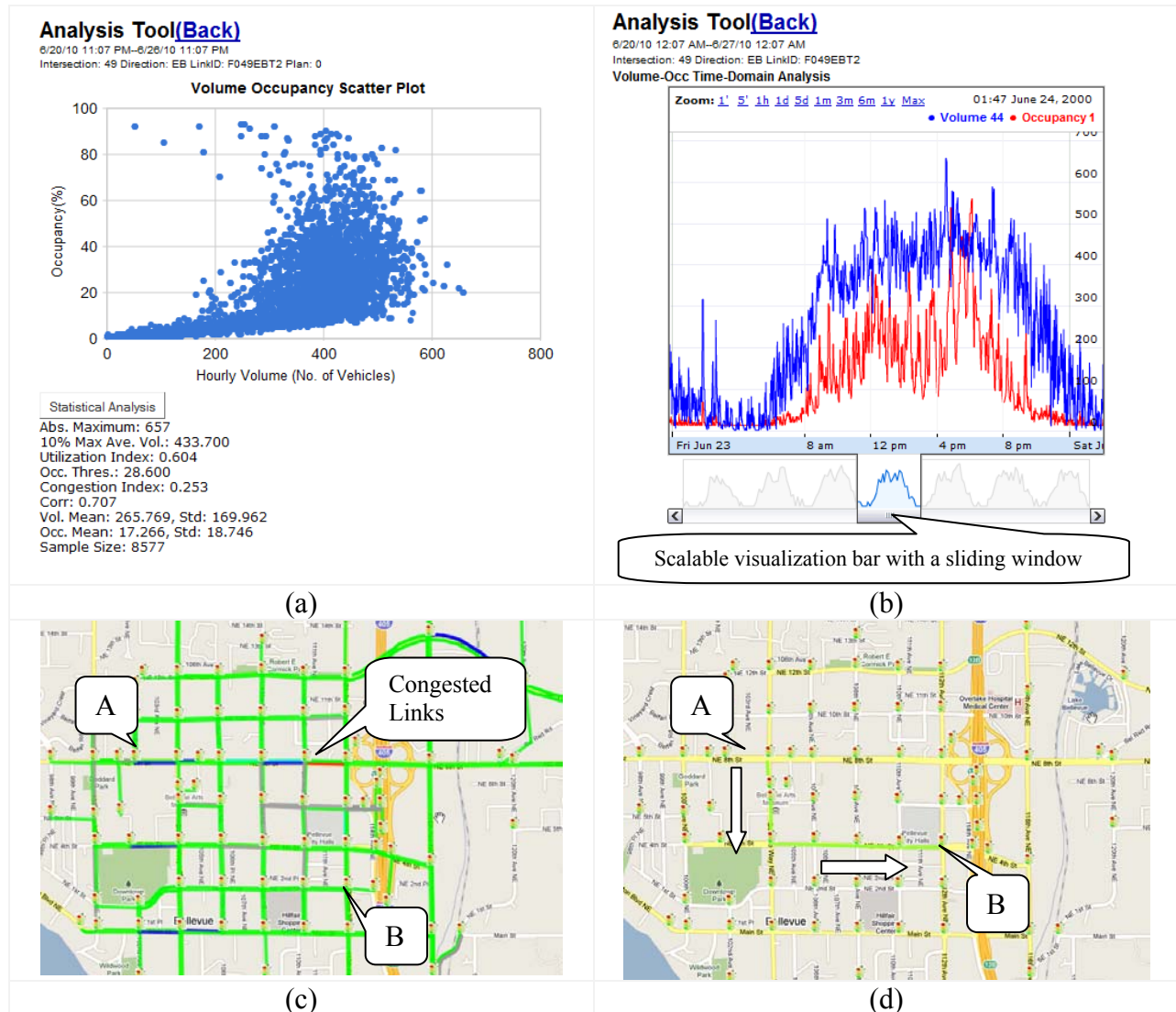
14 **4.2 Application Modules**

15 Five application modules have been implemented in the RADAR system to facilitate real-time
16 decision making: 1) Arterial real-time map, 2) Arterial data analysis, 3) Historical arterial map
17 query, 4) Dynamic shortest travel time routing, and 5) Arterial data sharing. Modules (1)~(3)
18 were the re-implementation based on the GATI system (9) with improved database design and
19 performance. Figure 6(a) shows the volume-occupancy scatter plot during June, 20th ~ June 26th,
20 2010. The statistical analysis are calculated online once the “statistical analysis button is clicked.
21 Figure 6(b) shows the time domain plot of the volume and occupancy data during the same
22 period. The scalable visualization bar can easily zoom into June, 23th and slide the window to
23 investigate traffic variation. Figure 6(c) shows the real-time traffic map. One can notice that the
24 links between Intersection A and B are not all experiencing free-flow conditions. Figure 6(d)
25 shows the shortest path between Intersections A and B. The shortest (travel time) path is
26 calculated by the A* algorithm (38) based on real-time link speeds updated by the α - β filter.
27 Since the speed data are stored in the database, the algorithm can be executed in real-time once
28 two nodes are selected. The path successfully skips the congested links indicated in Figure 6(c).
29 All these modules can be used to investigate various key issues. For example, the shortest route
30 module can be combined with the arterial data analysis module to investigate the causes of the
31 bottleneck.

33 **4.3 Performance**

34 The database design effectively reduces the query time for loop spot speed estimation and
35 meanwhile increases the online algorithm performance. The query for retrieving all the attributes
36 of the entire network by joining all tables takes less than 500ms. Downloading the raw data,
37 calculating the link speeds, and updating the travel time data for all links in the network takes
38 less than an average of two seconds in the middleware. Moreover, the shortest path algorithm
39 executed within the CBD area can be calculated in an average of one second.

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1 **Figure 6 RADAR Net modules (a) Volume and occupancy scatter plot and analysis (June,**
 2 **20th ~ June 26th, 2010), (b) Scalable time-domain plot ((June, 20th ~ June 26th, 2010),**
 3 **(c)Real-time traffic flow map at 5:45pm, July 29th 2010 (Thursday), and (d) real-time**
 4 **dynamic shortest travel time routing**

7 **5. CONCLUSIONS AND RECOMMENDATIONS**

8
 9 System performance and simple implementation are the keys to the success of a real-time
 10 decision making system for large urban arterial network. Many practical challenges hurdle the
 11 development of such a system. This paper presents a web-based Real-time Analysis and
 12 Decision-making for ARterial Network) RADAR Net system that demonstrates the
 13 computational capability between server and clients. A practical and scalable arterial database
 14 design is also proposed. The schemas can be used as a template for storing arterial data for other
 15 agencies. Since the database design is based on the relational model, this design can incorporate
 16 more other arterial data and increase the system scalability. The RADAR Net system contains

1 four layers: offline server, online server (middleware) and online server (Java Servlet) and online
2 client. This four-layer system design successfully distributes the computational burden of the
3 system. Traffic parameters are calculated or retrieved directly from the loop detector. The
4 RADAR system can dynamically predict and smooth real-time loop spot speeds by using α - β
5 filter, a simplified version of Kalman filter while maintaining high system performance. Many
6 application modules are implemented based on the current system architecture and prove feasible
7 to perform real-time analysis and assist decision making.

8 For an urban arterial network, travel time (speed) is an important indicator for the traffic
9 state. Currently, only the arterial traffic parameters (e.g. volume and occupancy) are the main
10 inputs to the system for travel time estimation. It is also recommended that more datasets (e.g.
11 incident data) should be included in the future analysis module development in order to provide
12 more comprehensive decision support. With more data sets, the RADAR Net system can
13 accomplish additional potential real-time applications, e.g. emergency evacuation and emergency
14 vehicle routing and bottleneck analysis. These additional modules can be easily implemented
15 based on the existing development. In addition to computational performance evolution, the
16 system should be further evaluated by using several case studies, e.g. how the recurrent and non-
17 recurrent congestion affect theoretical shortest paths (route choice). A user review process is
18 another feasible option to evaluate how effectively the system supports decision making.

19 Even though the RADAR Net system demonstrates its capability of real-time data
20 processing, the system still has some limitations. For example, the prediction function cannot
21 deal with long term missing data and malfunction in loop detectors. For those cities without loop
22 detector infrastructure or with missing detectors in some roadway links, the advanced sensor
23 technologies, such as Bluetooth travel time detectors can be used to provide missing travel time
24 data. Moreover, the performance may be reduced if thousands of queries are executed
25 simultaneously. One possible solution is to use concurrency control. In addition, the database
26 design can be further improved by incorporating multi-dimensional databases into the system to
27 handle aggregated data in real-time. To increase the computing power of RADAR Net, cloud
28 computing could be a potential solution.

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33 generation of RADAR Net and thanks Aaron Knight for updating the network information in the
34 database. The authors are grateful to Jonathan Corey for database maintenance and support.

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